



SOOCHOW UNIVERSITY

A framework of dual replay buffer: balancing forgetting and generalization in reinforcement learning

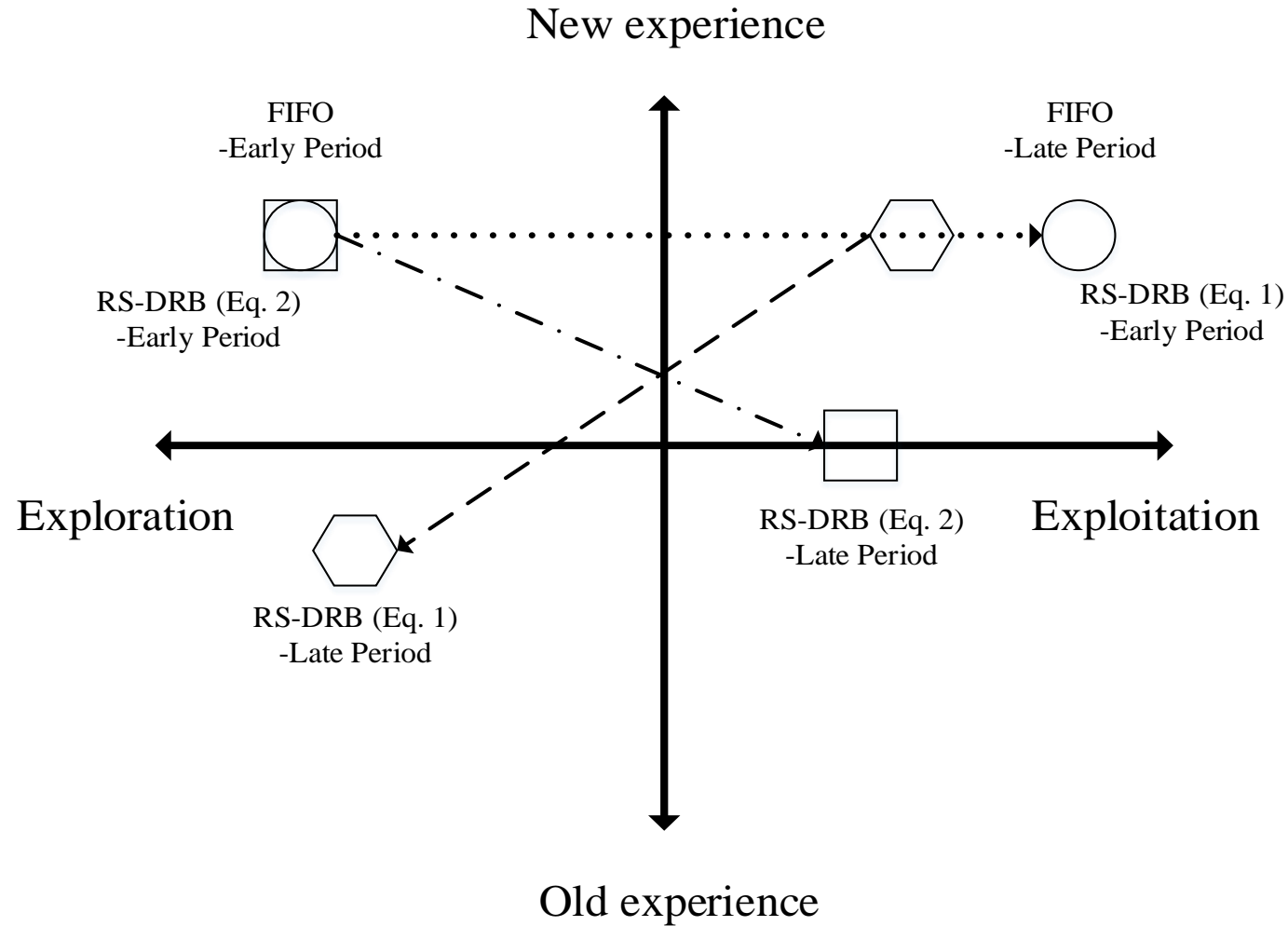
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Jiangcheng Zhu, Zhaorong Wang, Meng Wang, Changjie Fan**

- **Experience replay improves sample efficiency and training stabilization for deep reinforcement learning methods.**
- **Traditional retention method: FIFO**
- **However, this leads to the problem of generalization and forgetting in long-time training.**

- Generalization
 - Stuck in a **small** region of the state space
 - Experiences are **overfitted** and almost the same
- Catastrophic forgetting
 - Forgetting the knowledge obtained previously

- **Prioritized experience replay (PER)**
 - Focus on the instantaneous utility of experiences and implements the prioritized sampling in replay buffer based on the TD error
- **Synthetic experiences**
 - Two replay buffers with FIFO and a distance-based retention policy
- **Proxies**
 - To guide the retention and sampling of replay buffer via prior knowledge on control problems
- **Hindsight experience replay (HER)**
 - To deal with sparse and binary rewards

Catastrophic forgetting



The stream of state distribution of training batch

- Reservoir sampling

$$\begin{aligned}P[(s, a, r, s')_i] &= \frac{k}{i} \times \prod_{n=1}^{S(\mathcal{D}_a)-i} \left(1 - \frac{k}{i+n} \times \frac{1}{k}\right) \\&= \frac{k}{i} \times \prod_{n=1}^{S(\mathcal{D}_a)-i} \left(\frac{i+n-1}{i+n}\right) \\&= \frac{k}{S(\mathcal{D}_a)}\end{aligned}$$

- Double replay buffers
 - **Exploration** buffer (Reservoir Sampling) and **exploitation** buffer (FIFO)
- Sampling ratio
 - To sample the experiences of training batch from two buffers
 - Adaptive to the policy update rate

Double replay buffers



- Exploration is necessary to search the **entire** state space
- Discrete action problems (based on DQN)
 - An ϵ -greedy policy to control the magnitude of the exploration
- Continuous action problems(based on DDPG)
 - A noise \mathcal{N} to drive exploration
- A threshold η is to **determine** whether the action belongs to **exploration** action a_r or **exploitation** action a_g .

- A training batch size is N_b

The number of the same actions n_b from two sets of actions

$$\left\{ \begin{array}{l} \tau = \frac{n_b}{N_b} \times \mathcal{T}_{max} \\ \tau = \max\{\epsilon, \frac{n_b}{N_b} \times \mathcal{T}_{max}\} \end{array} \right. \quad (1)$$

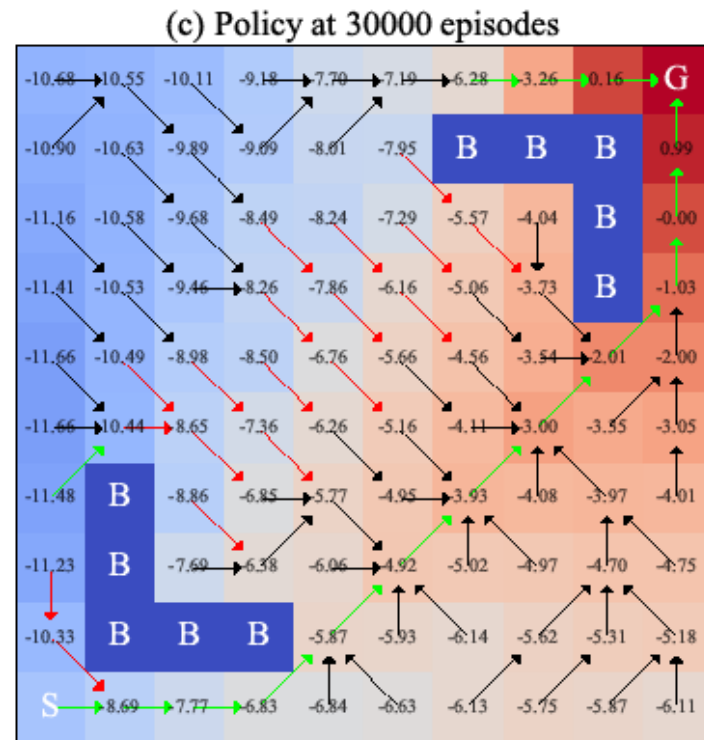
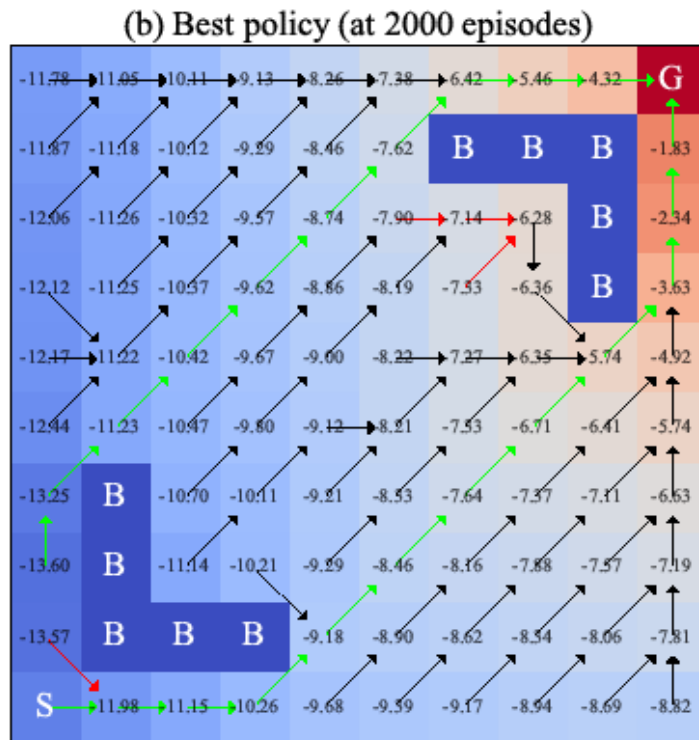
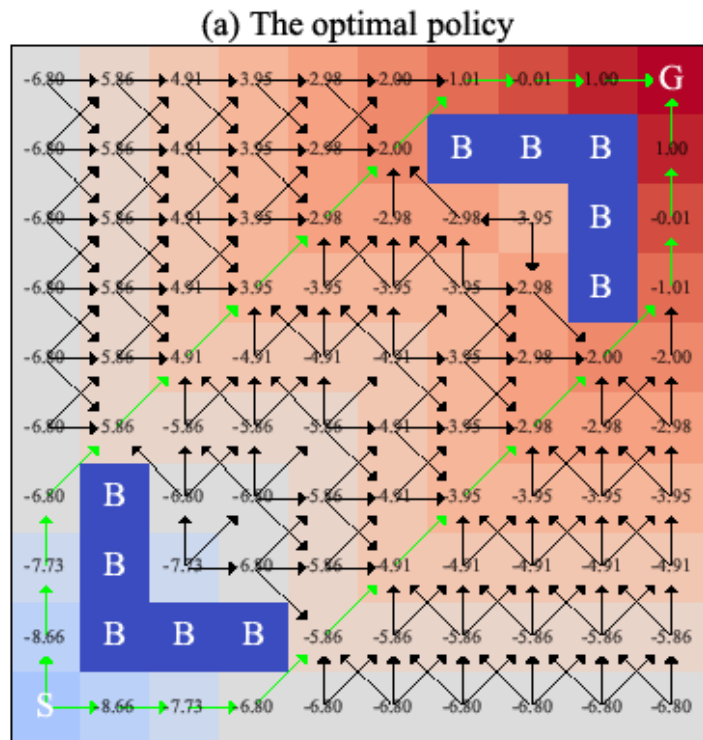
$$\tau = \max\{\epsilon, \frac{n_b}{N_b} \times \mathcal{T}_{max}\} \quad (2)$$

- τN_b experiences are sampled from exploration buffer D_r , and the rest ones are sampled from exploitation buffer D_g

Experiment



- GridWorld (10×10)
 - Eight actions
 - Reward -1 every state except terminate state

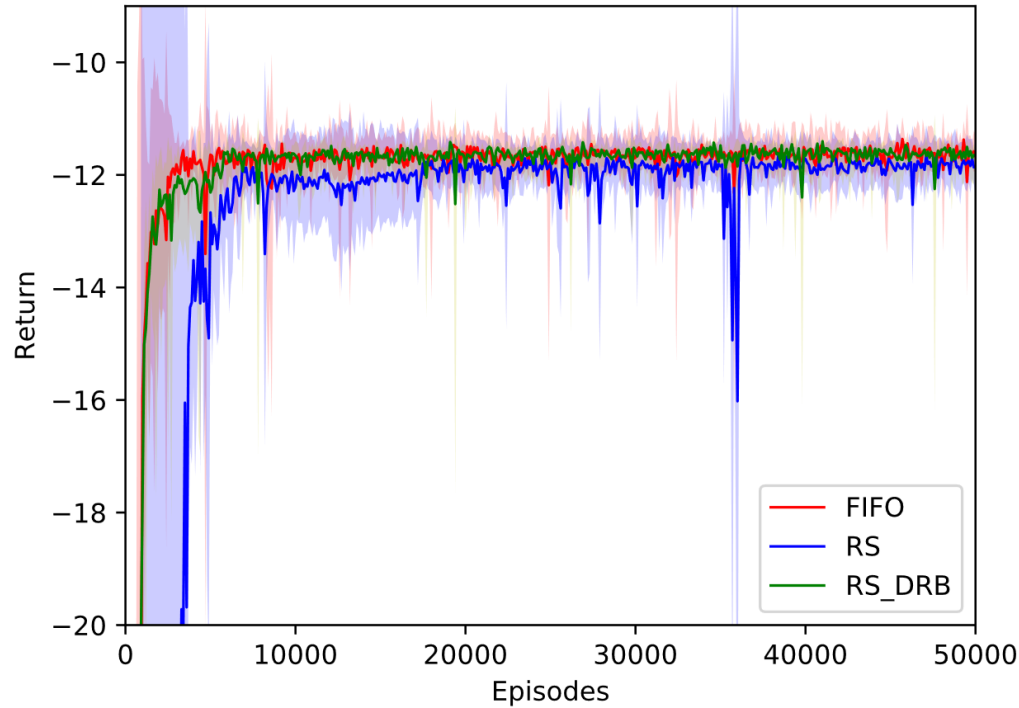


Experiment

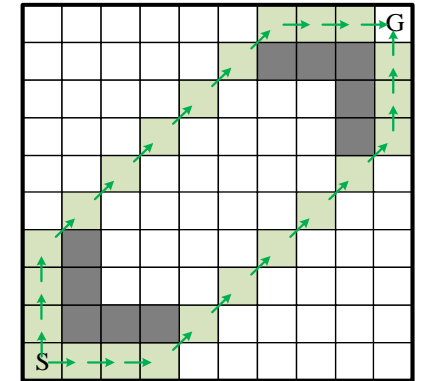
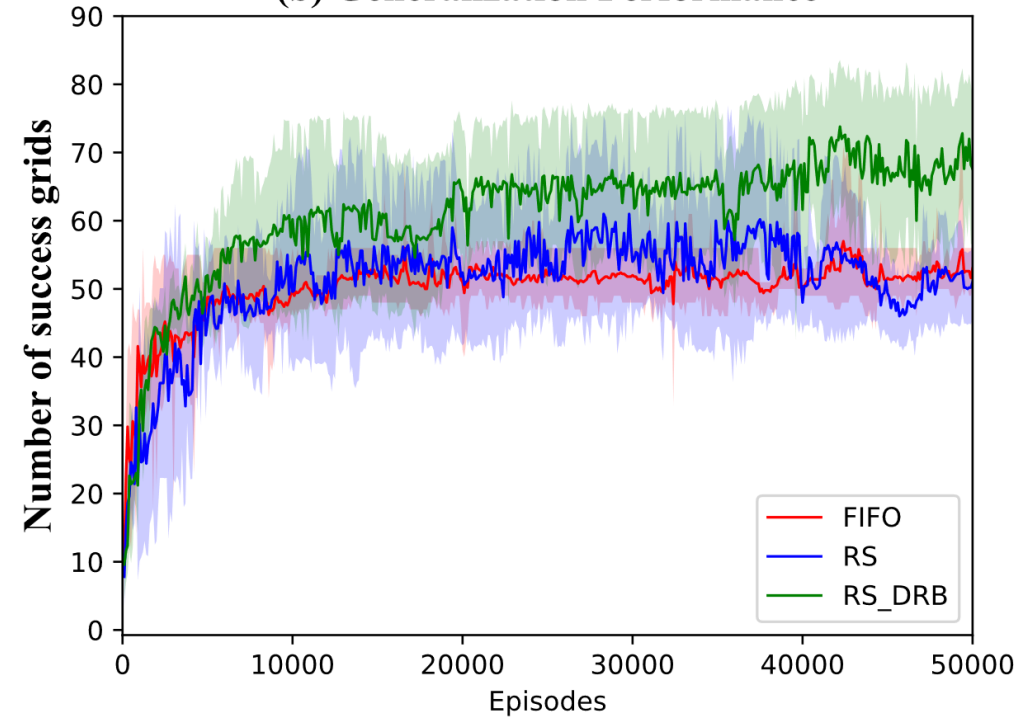


- Discrete Problem: A Barrired GridWorld

(a) Training Performance



(b) Generalization Performance



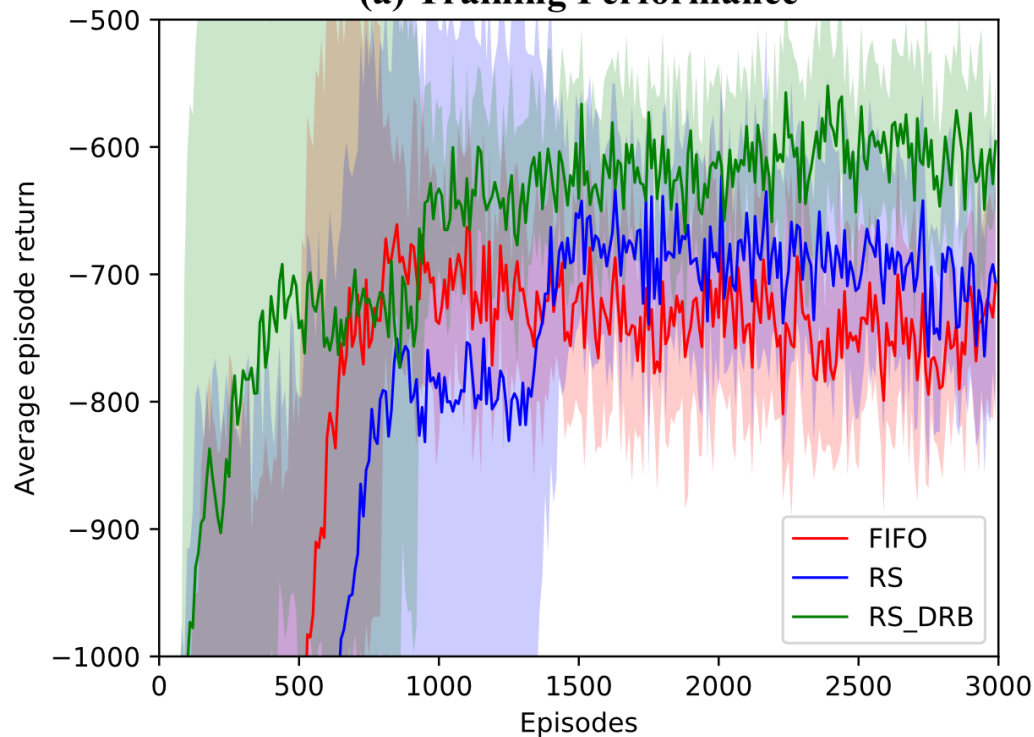
A Barrired GridWorld

Experiment

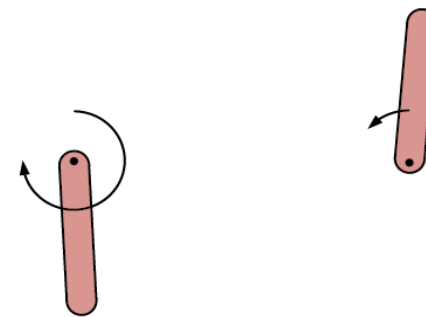
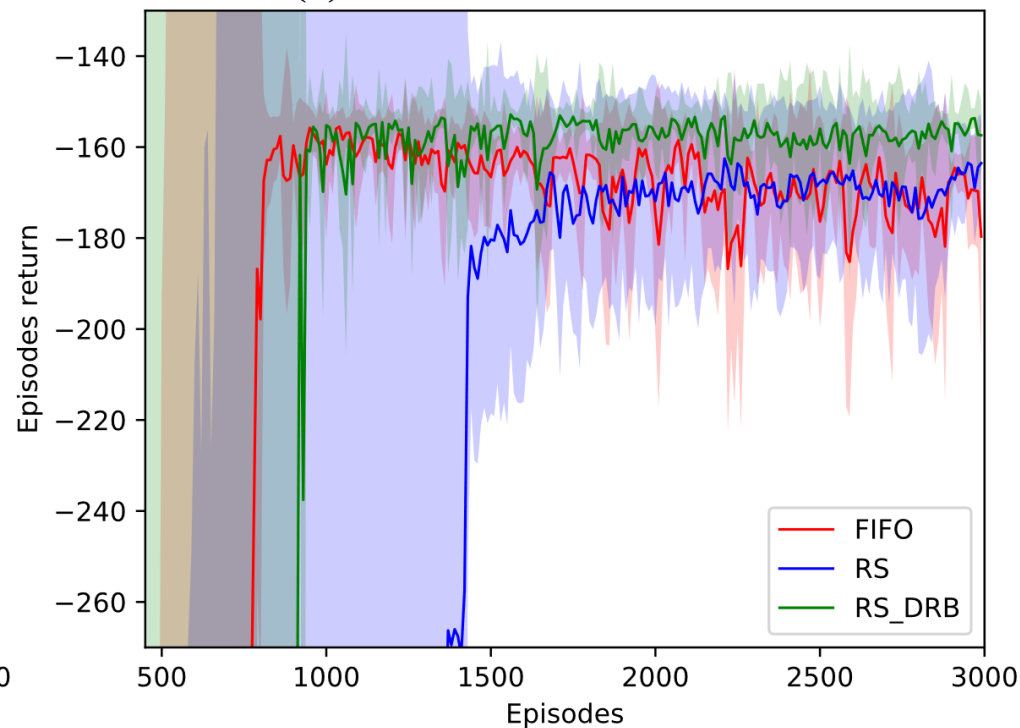


- Continuous problem: Pendulum

(a) Training Performance



(b) Generalization Performance



- Our paper presented a new **RS-DRB framework** to retain the experiences in the replay buffer.
- The exploration buffer with the **reservoir sampling** helps to maintain the coverage of the entire state space.
- The **adaptive sampling ratio** balances the experiences sampled from these two buffers according to the change of the policy.



Thanks!